



Institutional Review Board

EXEMPT CATEGORY 2 WORKSHEET

**Educational Tests, Surveys, Interviews, and
Observations of Public Behavior**

This worksheet reflects changes to regulatory language in 45 CFR 46, effective January 21, 2019.

1. Does this research include ONLY interactions involving the following?

- Educational tests (cognitive, diagnostic, aptitude, achievement), **OR**
- Survey procedures, **OR**
- Interview procedures, **AND/OR**
- Observation of public behavior (including visual or auditory recording) - Note that state laws (including Oregon law) may also affect your ability to record public behavior.

YES	Describe:
No	This research <u>does not</u> qualify for exemption under this category.

2. When the research involves children as participants, are the research activities limited to educational tests (cognitive, diagnostic, aptitude, achievement) or observation of public behavior where the investigator(s) will NOT participate in the activities being observed?

N/A	Children are not involved as participants in this study.
Yes	Describe:
No	This research <u>does not</u> qualify for exemption under this category.

3. Select the following condition(s) that apply to this research:

	The information obtained is recorded in such a manner that the identity of the human subjects cannot readily be ascertained either directly or through identifiers linked to the subjects;
	Explain:

	<p>Any disclosure of the human subjects' responses outside the research would not reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, educational advancement, or reputation;</p> <p>Explain:</p>
	<p>Information obtained is recorded in such a manner that the identity of the human subjects can readily be ascertained, directly or through identifiers linked to the subjects but adequate provisions have been made to ensure that the data collected are appropriately monitored and secured to ensure the privacy of the subjects.</p> <p>Describe provisions to protect privacy of participants:</p>
	<p>Describe provisions to maintain confidentiality of participant data:</p>
	<p>None of the above statements applies.</p>
✓	<p>If you answered 'yes' to Question 1, 'yes' or 'n/a' to Questions 2, and were able to select an applicable statement for Question 3, your project likely qualifies for exemption.</p> <ul style="list-style-type: none"> • Complete any additional category worksheets applicable to your research. • Proceed with completing the Exempt Application Form; • Submit the items noted in the Investigator Checklist at the end of the form to the IRB office. The IRB will review and verify the exempt determination; • If the IRB determines the study does <u>not</u> qualify for exemption, you will need to prepare and submit a protocol using the Non-Exempt Research Application.
✓	<p>If you answered 'no' to Question 1, 'no' to Question 2, and/or were unable to select an applicable statement for Question 3, this research is <u>not</u> exempt under this category. Return to the Exempt Categories Flowchart to identify alternative categories for exemption. If you conclude that no categories are applicable to your research, your study is not eligible for exemption. Proceed with preparing the Non-Exempt Application.</p>